

RECOMMENDATION BY AUTHORISED UTC

Basis of recommendation

The client is / is not* well versed in capital market products and has _____ years of investing experience in Sukuk / Equities / Derivatives / Unit Trust Funds*. The client's investment goal is _____.

Having considered the client's high / medium / low* risk tolerance, it is recommended that the client invests in Money Market Funds / Sukuk Funds / Mixed Funds / Equity Funds / Others _____ (please specify)* to meet the client's investment goal.

*Delete where not applicable.

DECLARATION

I / We hereby declare that I / we:

Agree with both the basis of recommendation and the SAT risk profile rating;

Agree with the SAT risk profile rating but disagree with the basis of recommendation; or

Disagree with both the basis of recommendation and the SAT risk profile rating.

All information disclosed is true, complete, and accurate.

The adviser has explained and I / we have understood the features and risks of the product.

I / We acknowledge receipt of a copy of Product Highlights Sheet and the disclosure document which have been given to me / us.

Signature(s) of Authorised Signatory(ies)

Date:

WARNING:

The recommendation is made based on information obtained from the suitability assessment. Investors are advised to exercise judgement in making an informed decision in relation to the unlisted capital market product.

NON-PARTICIPATION (ONLY FOR HIGH NET-WORTH ENTITY)

I / We do not agree to participate in this assessment.

Signature(s) of Authorised Signatory(ies)

Date:

COMPULSORY FOR DISTRIBUTOR (AUTHORISED UTC)

Name of *UTC (as per NRIC): _____

*UTC Contact No.: _____

*UTC Code: _____

*Delete where not applicable.

Signature

Date: